



HORIZON 2020

Bologna

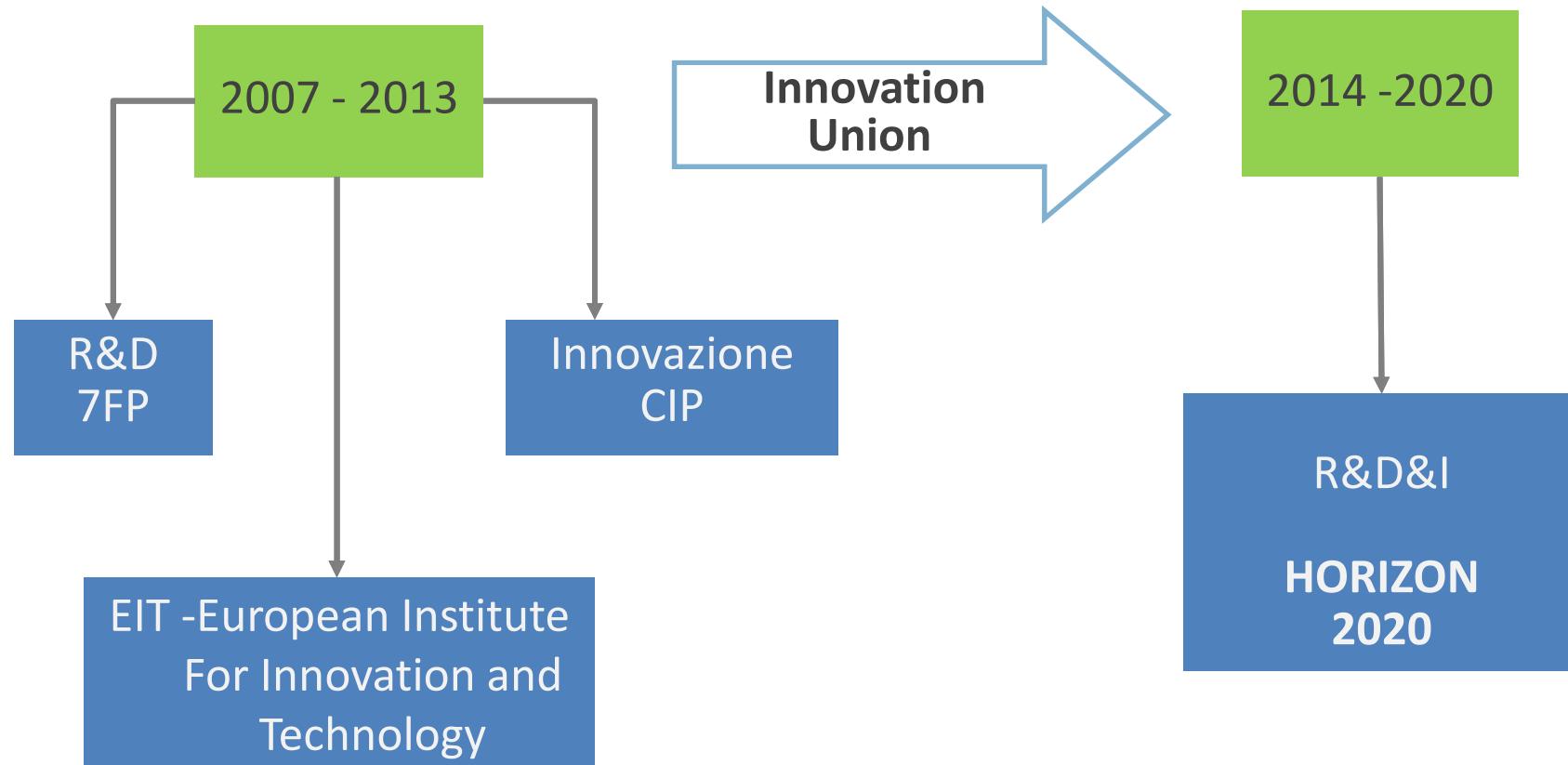


eurosportello
del veneto



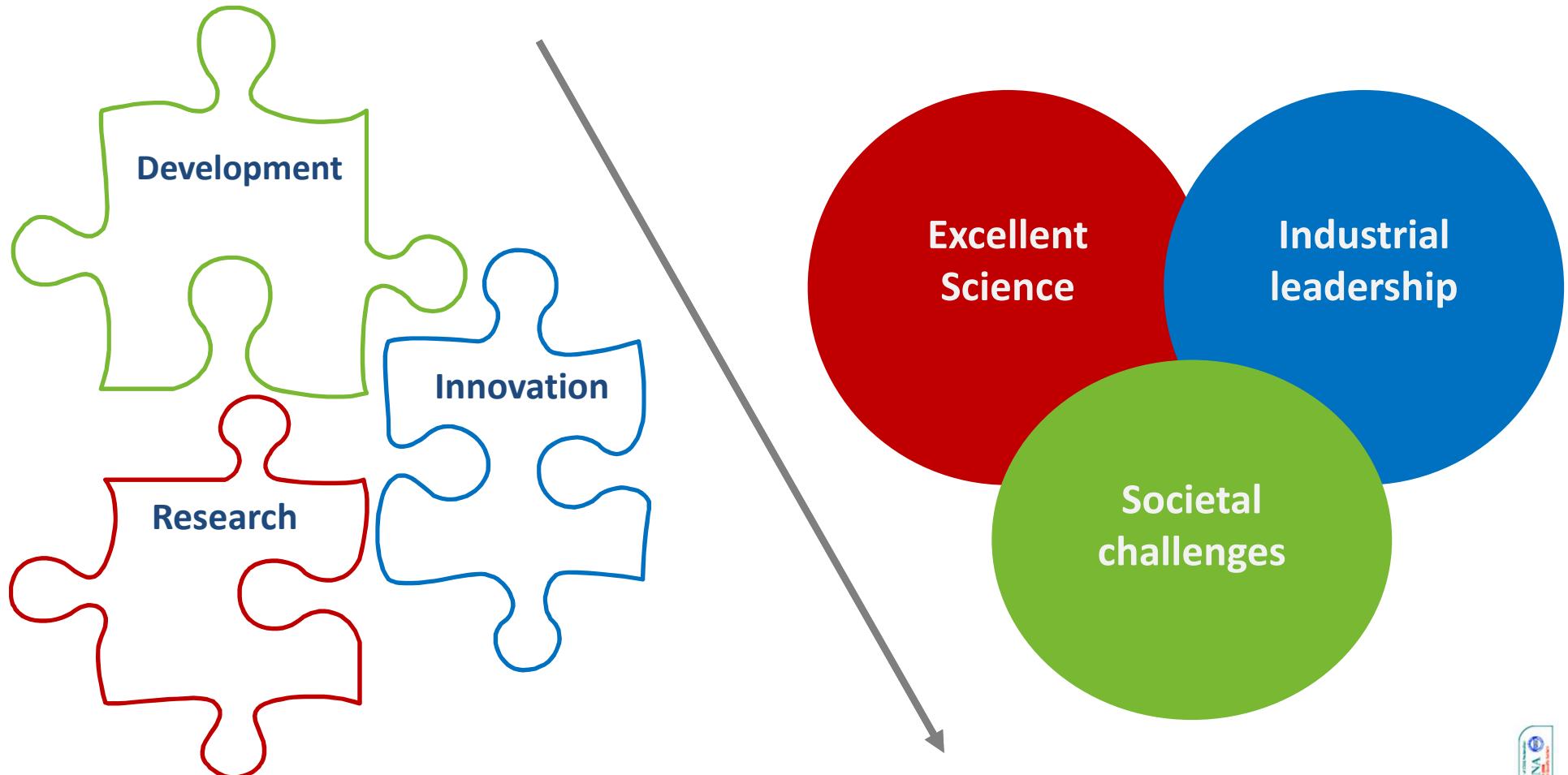


Verso un unico programma R&D&I





Un progetto in Horizon 2020...



...a stronger, clear focus



Struttura del programma

Excellent Science

- **European Research Council**
 - Frontier research by the best individual teams
- **Future and Emerging Technologies**
 - Collaborative research to open new fields of innovation
- **Marie Skłodowska Curie actions**
 - Opportunities for training and career development
- **Research infrastructures**
(including e-infrastructure)
 - Ensuring access to world-class facilities

Industrial Technologies

- **Leadership in enabling and industrial technologies**
 - ICT, nanotechnologies, materials, biotechnology, manufacturing, space
- **Access to risk finance**
 - Leveraging private finance and venture capital for research and innovation
- **Innovation in SMEs**
 - Fostering all forms of innovation in all types of SMEs

Societal Challenges

- Health, demographic change and wellbeing
- Food security, sustainable agriculture, marine and maritime research & the bioeconomy
- Secure, clean and efficient energy
- Smart, green and integrated transport
- Climate action, resource efficiency and raw materials
- Inclusive, innovative and reflective societies
- Security society

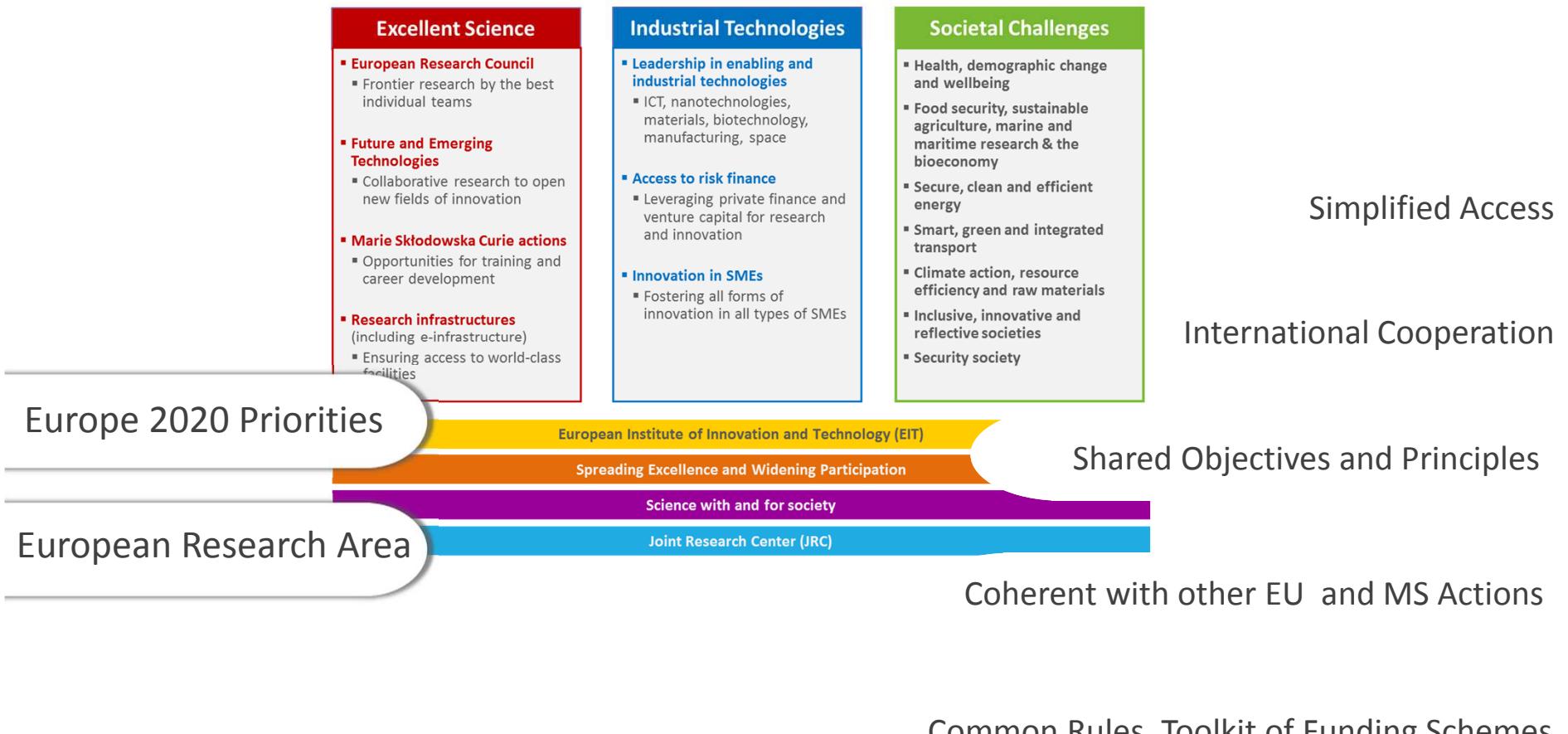
European Institute of Innovation and Technology (EIT)

Spreading Excellence and Widening Participation

Science with and for society

Joint Research Center (JRC)





Caratteristiche

- Un **singolo programma** che riunisce tre iniziative fino ad ora separate
- **Value chain** che va dalla ricerca di frontiera , allo sviluppo tecnologico, dimostrazione, valorizzazione dei risultati e innovazione
- **Innovazione**, in tutte le sue forme
- Focus su **societal challenges**
- **Accesso semplificato** per le imprese, le università, etc in tutti gli stati europei
- Sinergie con i **Fondi Strutturali**



Cosa cambia?

7PQ

- Linear thematic calls
- Research plan
- Focus on R&D
- Quantity driven
- Resource intensive
- Linear approach (project design)
- Focus on output



Horizon 2020

- Challenge based calls (inter-pillars)
- Business plan
- Focus on added value of Innovation
- Demand/value driven
- Brain intensive (engineering)
- Synergistic approach (*programme engineering -SF*)
- Focus on outcome



THEMATIC
BASED

NEW CONCEPT

CHALLENGE BASED -
SYSTEMIC





Budget proposto

 European Commission	Commissione Europea	80 miliardi €
 EUROPEAN PARLIAMENT	Parlamento Europeo	100 miliardi €
 CONSILIO	Consiglio Europeo	70 miliardi €



Accordo sul Budget

*28 Giugno 2013



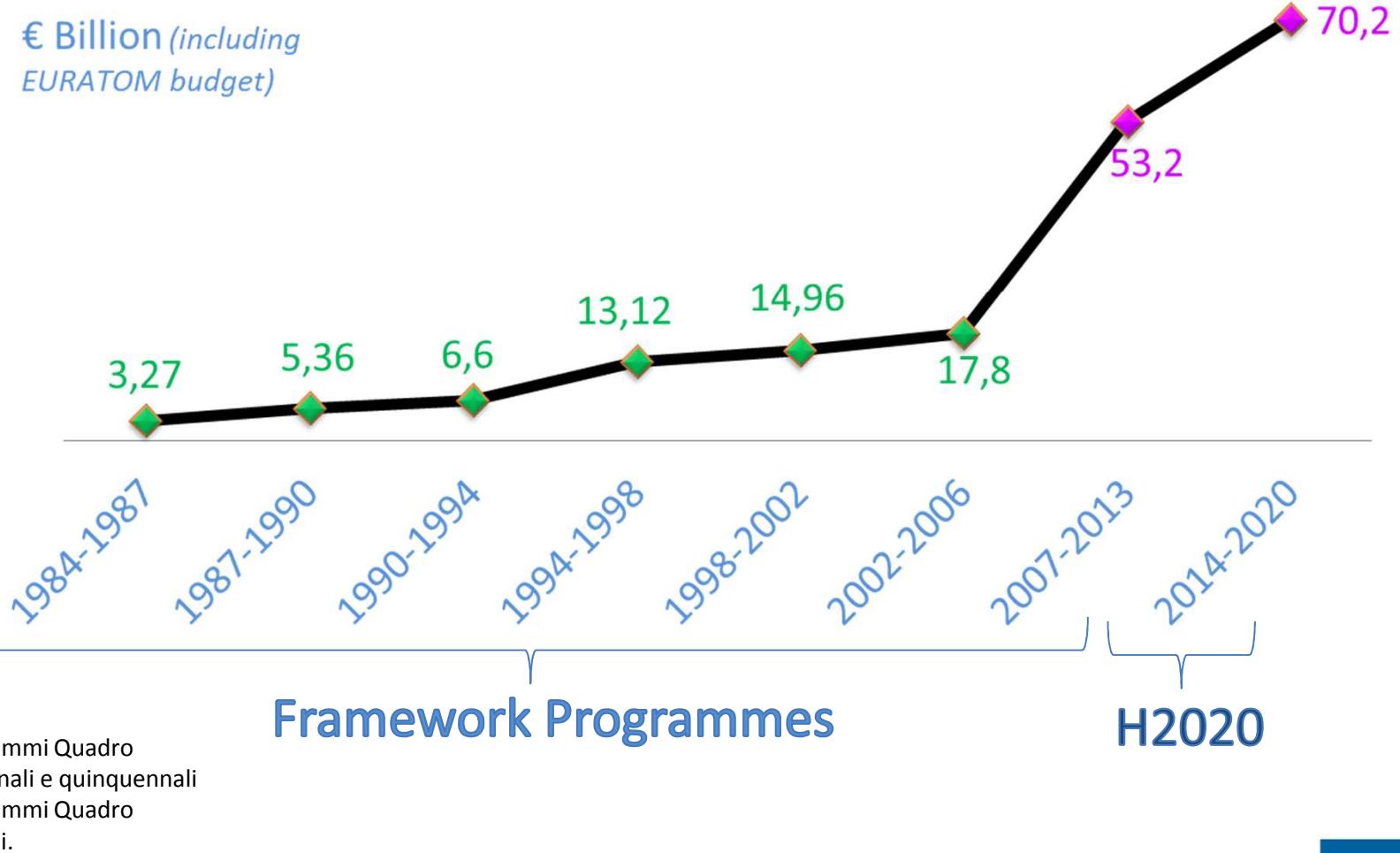
70,2 Miliardi di €
(incluso EURATOM)

Quadro finanziario pluriennale
2013/2020 - MFF

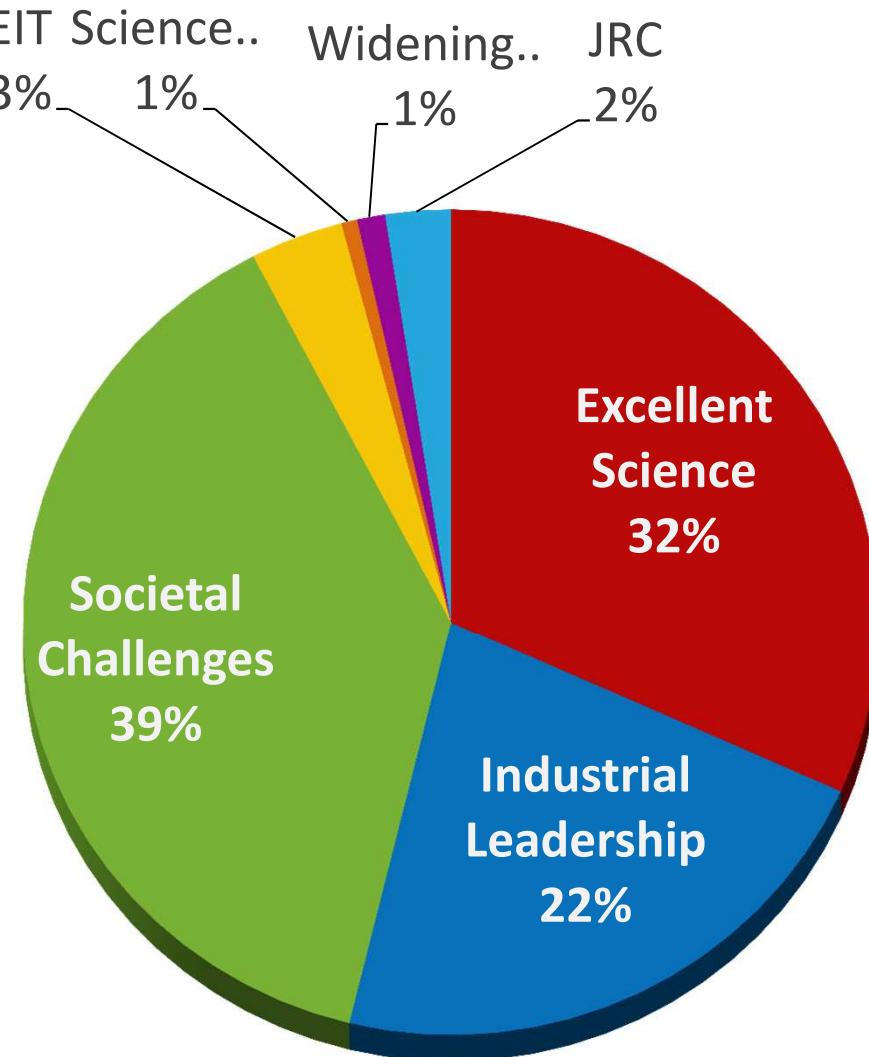




Budget da FP1 a Horizon 2020



Ripartizione finanziaria

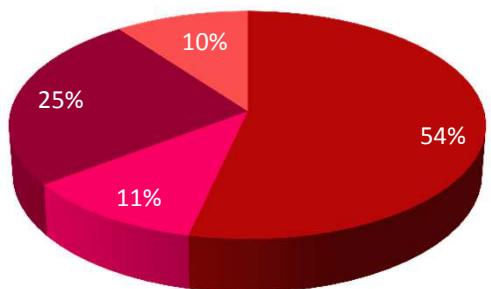


* proposta del Trilogo del 27-06-13



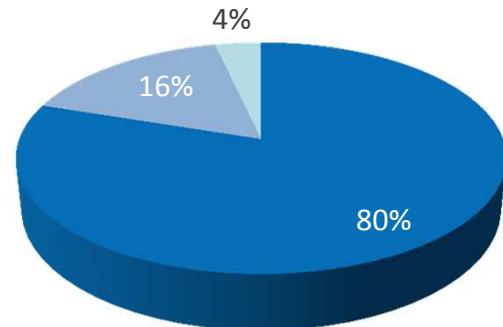
Il budget per le attività

Excellent Science



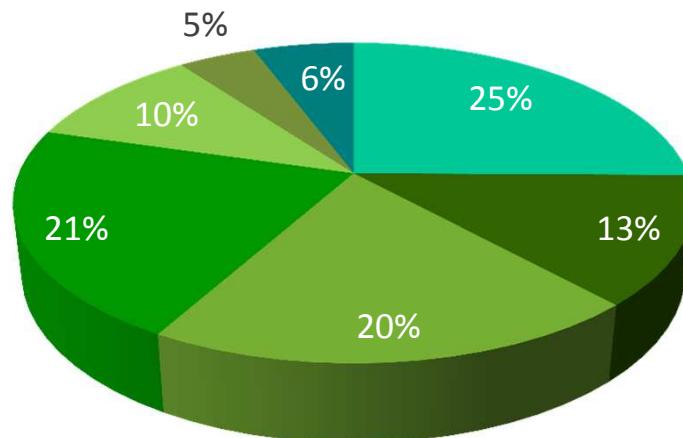
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- Marie Skłodowska Curie Actions
- European Research Infrastructures (including eInfrastructures)

Industrial Leadership



- Leadership in enabling and industrial technologies
- Access to risk finance
- Innovation in SMEs

Societal challenges



- Health, demographic change and wellbeing

■ Food security, sustainable agriculture and forestry, marine and maritime and inland water research and the bioeconomy:

- Secure, clean and efficient energy

- Smart, green and integrated transport

■ Climate action, environment, resource efficiency and raw materials

- Inclusive, innovative and reflective Societies

- Secure Societies





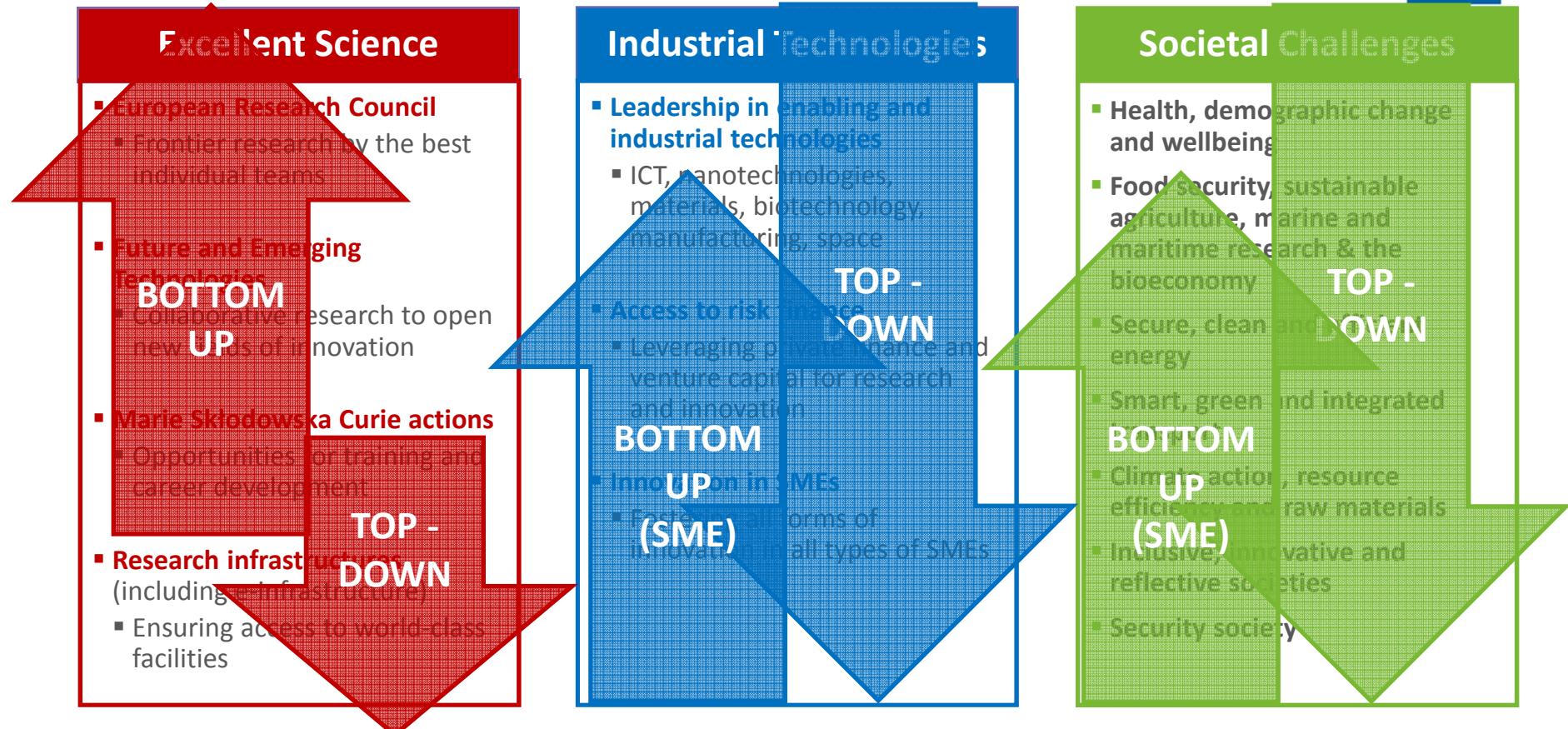
Il budget per le attività /2

	Compromise % 27.06.13	Million € (27.06.13)
I. Excellent Science, of which:	31,73%	22.274
1. ERC	17,00%	11.934
2. FET	3,50%	2.457
3. MS Curie Actions	8,00%	5.616
4. Research Infrastructures	3,23%	2.267
II. Industrial Leadership, of which:	22,09%	15.507
1. Leadership in Enabling and Industrial Technologies	17,60%	12.355,20
1. Access to Risk Finance	3,69%	2.590,38
1. Innovation in SME's	0,80%	561,60
II.I Societal Challenges, of which:	38,53%	27.048,06
1. Health, demographic change and well being	9,70%	6.809,40
1. Food security, sustainable agriculture, marine and maritime research & the bio economy	5,00%	3.510
1. Secure, clean and efficient energy	7,70%	5.405,40
1. Smart, green and integrated transport	8,23%	5.777,46
1. Climate action, resource efficiency and raw materials	4,00%	2.808
1. Europe in a changing world – Inclusive, innovative and reflective society	1,70%	1.193,40
1. Secure societies – Protecting freedom and security of Europe and its citizens	2,20%	1.544,40
Spreading Excellence and Widening Participation	1,06%	744.120
Science with and for society	0,60%	421.200
European Institute of Innovation and Technology - EIT	3,52%	2.471,04
JRC Non-nuclear	2,47%	1.733,94
EURATOM		2.098,48
Total	100,00%	70.200

[1] Il calcolo del budget per programma è stato elaborato da APRE sulla base delle percentuali stabilite durante il Consiglio.



Struttura del programma



European Institute of Innovation and Technology (EIT)

Spreading Excellence and Widening Participation

Science with and for society

Joint Research Center (JRC)





1° PILLAR

EXCELLENT SCIENCE



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Excellent Science /1

Budget proposto (milioni di €)



21.609 M €

	EC	EP	Compromise 27.06.13
European Research Council	15.008	13.268	11.577
Future and Emerging Technologies	3.505	3.100	2.384
Marie Skłodowska-Curie Actions	6.503	5.572	5.448
Research Infrastructures	2.802	2.478	2.200

Excellent Science /2

Per sostenere le migliori idee e sviluppare competenze, per fare dell'Europa un polo di attrazione per i migliori ricercatori mondiali



European Research Council: progetti di ricerca di frontiera

Future and Emerging Technologies: ricerca nelle aree più promettenti nel campo delle tecnologie di domani; (**Science**)

Azioni **Marie Skłodowska-Curie** per attrarre e sostenere ricercatori e la loro mobilità;

Infrastrutture di Ricerca adeguate, meno care e diffuse in Europa.



Consiglio Europeo della Ricerca



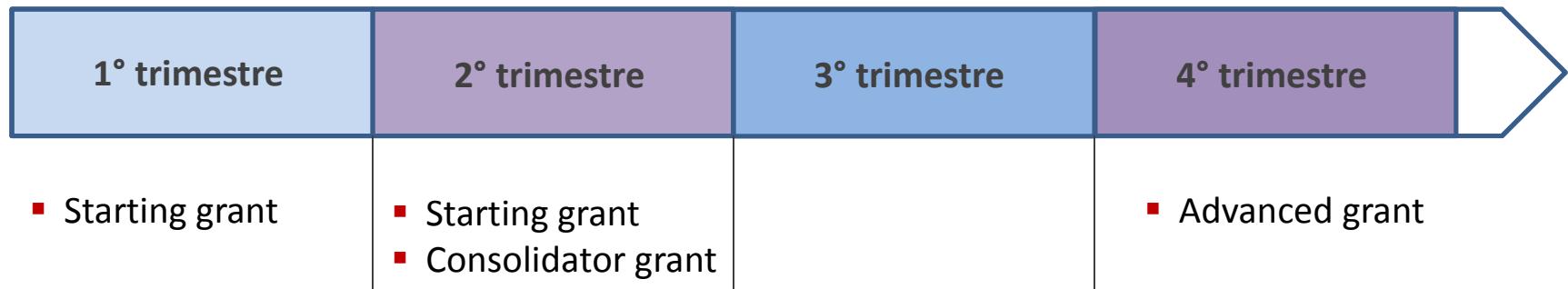
- Nella proposta non sono evidenziati gli schemi di finanziamento
- ERC organo indipendente
- Schemi

FP7	Eleggibilità
ERC Starting	2-7 anni dal phd
ERC Consolidator	7-12 anni dal phd
ERC Advanced	Almeno 10 anni di esperienza on ricerca
ERC Sinergy	<ul style="list-style-type: none">• Da due a quattro PI• transdisciplinarietà
ERC Proof of Concept	Per grantees finanziati



ERC: Calls 2014*

2014



- Synergy grant: no call nel 2013 e 2014
- Proof of Concept: 1 call con doppia scadenza nel 2014

*proposta ERC, da approvare

FET – Future and Emerging Technologies

- Expanded from ICT to be used as cross-cutting instrument
- Supports frontier research: alternative ideas, concepts or paradigms of risky or non-conventional nature

FET Open

fostering novel ideas. Collaborative research for embryonic, high risk visionary science and technology

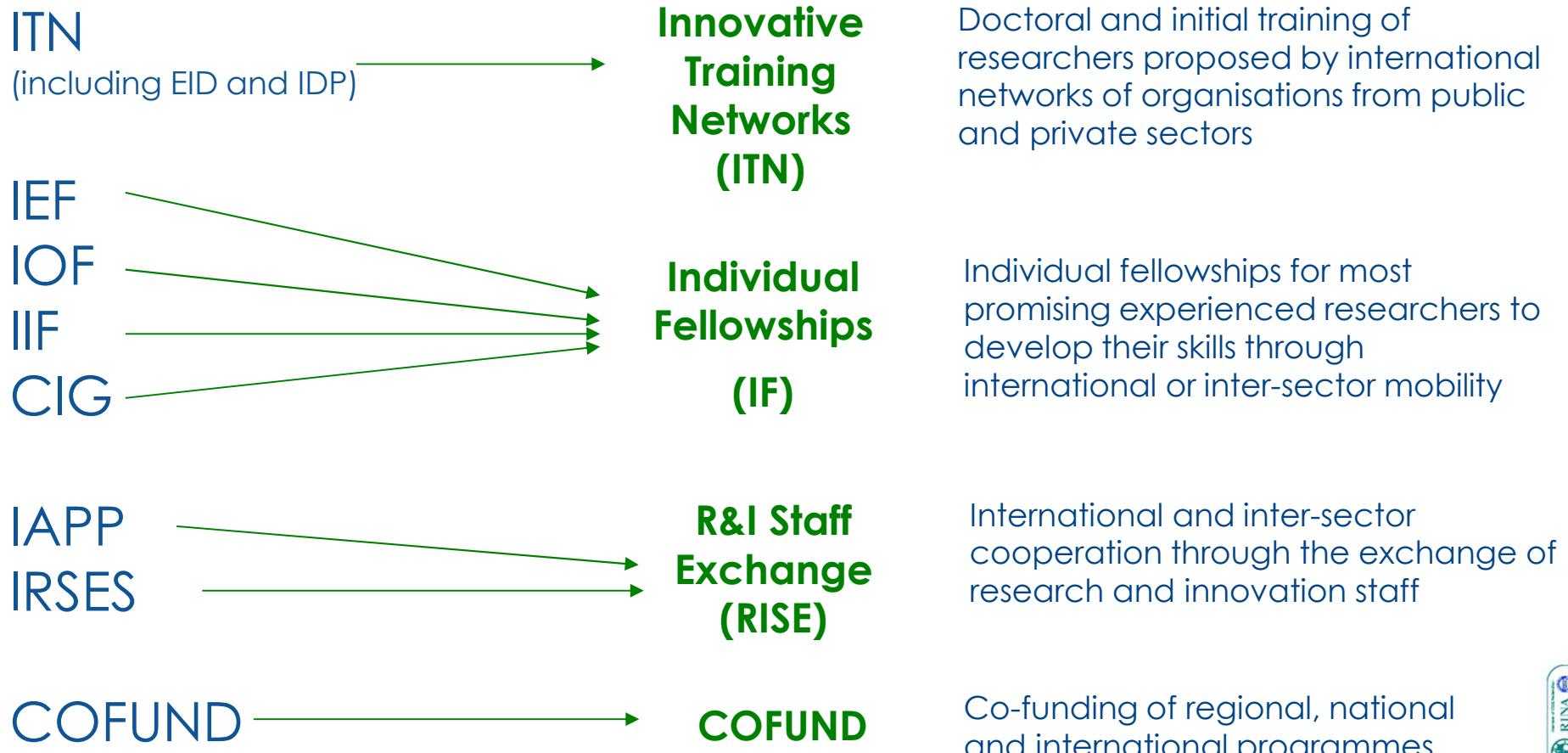
FET Proactive

Nurturing emerging themes and communities

FET Flagship

Tackling grand interdisciplinary science and technology challenges

MSCA MARIE SKŁODOWSKA-CURIE ACTIONS



RESEARCH INFRASTRUCTURES

THREE MAIN OBJECTIVES:

- Developing the European research infrastructures for 2020 and beyond
- Fostering the innovation potential of research infrastructures and their human capital
- Reinforcing the European research infrastructure policy and international co-operation





2° PILLAR

INDUSTRIAL LEADERSHIP



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INDUSTRIAL LEADERSHIP

15.044 M €

BUDGET PROPOSTO (MILIONI DI €)

	EC	EP	Compromise 27.06.13
Leadership in enabling and industrial technologies (ICT, nanotechnologies, materials, biotechnology, manufacturing, space)	15.580	13.781	11.986
Access to risk finance Leveraging private finance and venture capital for research and innovation	4.000	3.538	2.513
Innovation in SMEs Fostering all forms of innovation in all types of SMEs	700	619	544,81

INDUSTRIAL LEADERSHIP

Per incoraggiare investimenti
in R&I in Europa, promovendo alcune priorità per ogni forma di business

- **Leadership in enabling and industrial technologies** - soprattutto Key enabling technologies (Information and Communication Technologies (ICT), Nanotechnologies, Advanced materials, Biotechnology, Advanced Manufacturing and Processing) & Space;
- **Access to finance** per aziende innovative, strumenti finanziari in partenariato con l'European Investment Bank; Debt instrument & Equity instrument
- **Innovation in SME**
Support to innovative SMEs

COSA SONO LE KET

Key Enabling Technologies

Tecnologie "ad alta intensità di conoscenza e associate ad elevata intensità di R & S, a cicli d'innovazione rapidi, a consistenti spese di investimento e a posti di lavoro altamente qualificati. Rendono possibile l'innovazione nei processi, nei beni e nei servizi in tutti i settori economici e hanno quindi rilevanza sistematica. Sono multidisciplinari, interessano tecnologie di diversi settori e tendono a convergere e a integrarsi. Possono aiutare i leader nelle tecnologie di altri settori a trarre il massimo vantaggio dalle loro attività di ricerca"

*Current situation of key enabling technologies in Europe, SEC (2009)

Photonics

Manufacturing

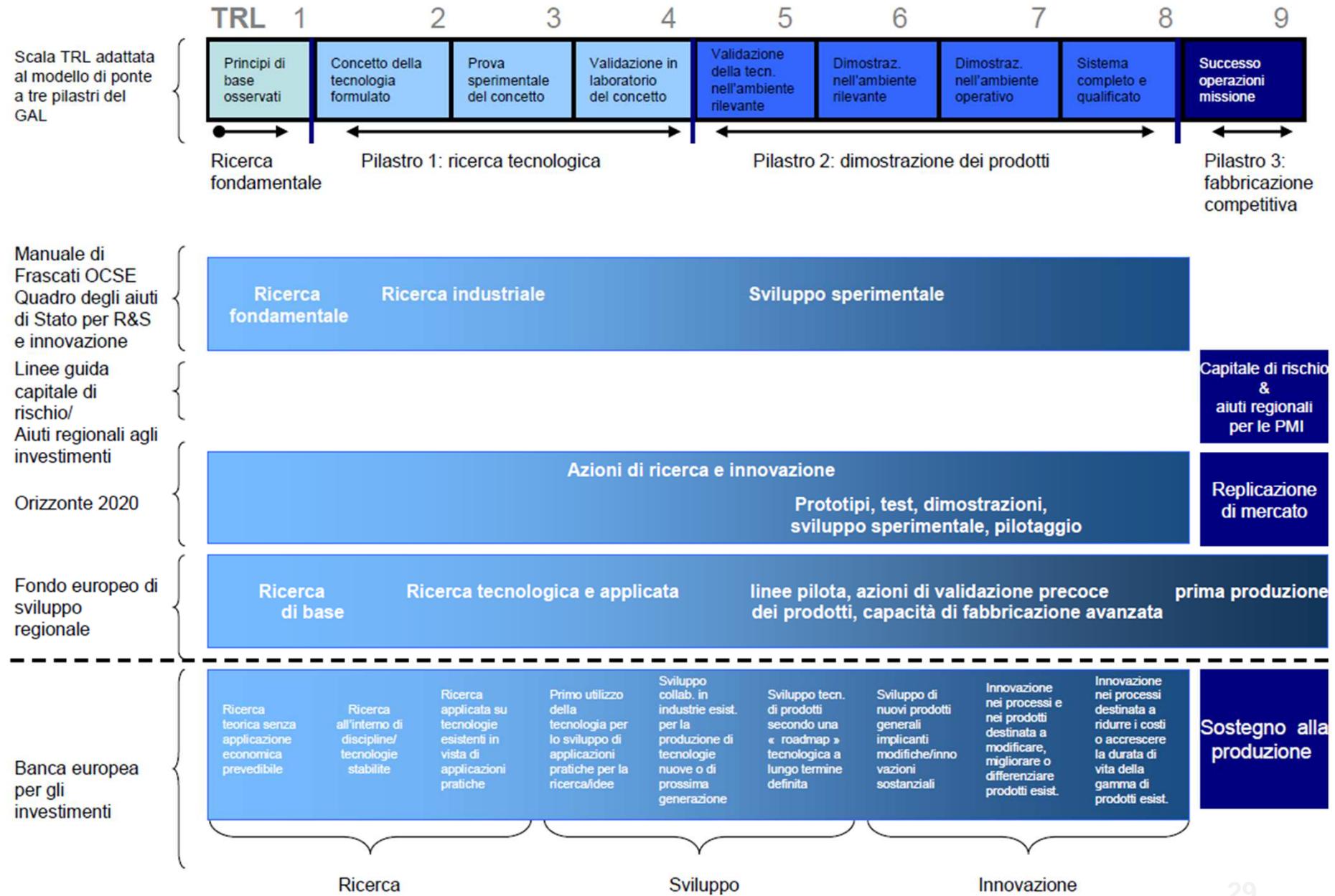
Biotechnology

Advanced Materials

Micro/Nanoelectronics

Nanotechnologies





NANOTECHNOLOGIES:

- Next generation nanomaterials, -devices, -nanosystems
- Ensuring safe development & application + societal dimension
- Efficient synthesis and manufacturing of nanomaterials, - systems
- Developing capacity-enhancing techniques, measuring methods

ADVANCED MATERIALS:

- Materials development & transformation
- Mgmt of materials components
- Materials for sustainable and for creative industries
- Metrology, characterisation, standardisation, quality control
- Optimisation of the use / substitution of materials

3.510 M €

ADVANCED MANUFACTURING & PROCESSING:

- Factories of the future
- Energy-efficient buildings
- Sustainable technologies in energy-intensive process industries
- New, sustainable business models

ICT:

- New generation components & systems
- Next generation computing
- Future internet
- Content technologies & information mgmt
- Advanced interfaces and robots

7.027 M €

BIOTECHNOLOGY:

- Boosting cutting-edge biotech as future innovation drivers
- Biotechnology-based industrial processes
- Innovative and competitive platform technologies

470 M €

SPACE:

- Enabling European competitiveness & independence in space activities
- Enabling future space missions
- Enabling exploitation of space data
- Enable European participation in global space endeavours

1.347 M €

2.590 M €

ACCESS TO FINANCE

per aziende innovative, strumenti finanziari in partenariato con l'European Investment Bank; Debt instrument & Equity instrument

INNOVATION IN SME

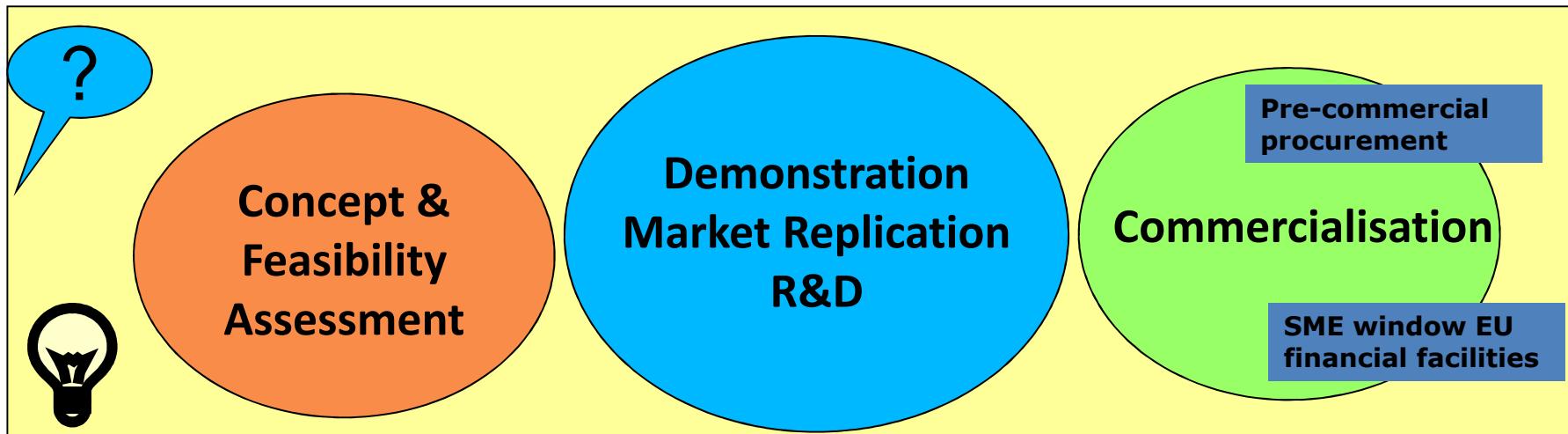
Eurostars

EEN

Azioni di coordinamento e supporto



Lo strumento per le PMI



Idea to concept,
risk assessment,
technological &
commercial feasibility

Demonstration,
prototyping, testing ,
market replication,
scaling up,
miniaturisation, research

Quality label for
successful
projects, access to
risk finance,
indirect support

IDEA

sostegno continuo per l'intera durata

MERCATO





Lo strumento PMI: le tre fasi

Fase 1: concetto e valutazione della fattibilità

Input:
Idea/Concept in "Business Plan I"
(~ 10 pages)

Main Activities:
Feasibility of concept
Risk assessment
IP regime
Partner search
Design study
Pilot application

Output: elaborated "Business plan II"

Lump sum: around 50.000 €
~ 6 months

Fase 2: R&D, dimostrazione, market replication

Input:
"Business plan II" +
"Description of activities under Phase2" (~ 30 pp.)

Main Activities:
Development Prototyping
Testing
Piloting
Miniaturisation
Scaling-up
Market replication

Output: investor-ready "Business plan III"

Output based payments:
1 to 3 M€ EU funding
~ 12 to 24 months

Fase 3: Commercializzazione

Input:
"Business plan III"

+

Opportunities:
'Quality label' for successful Phase 1 & 2

Easier access to private finance
Support via networking, training, coaching, information, addressing i.a. IP management, knowledge sharing, dissemination

SME window in the EU financial facilities (debt facility and equity facility)

No direct funding



Caratteristiche dello strumento PMI

- Indirizzato a tutti i tipi di PMI innovative che presentino una forte volontà di crescere, svilupparsi e internazionalizzarsi
- Solo PMI potranno richiedere finanziamenti (sostegno a una sola impresa è possibile, ma collaborazione certamente consigliabile)
- Competitivo, dimensione EU → solo le migliori idee passano la fase 1
- Orientato al mercato; attività close-to-market: finanziamento al 70%
- Costituito da 3 fasi – piu' il coaching
- Possibilità di entrare nella fase 1 e fase 2
- Applicato in tutte le 'societal challenges' e 'key enabling technologies'





Altre attività relative alle PMI in H2020

Innovation in SMEs' (sotto "Industrial leadership")

- Strumento per le PMI – mainstreaming (applicato in tutte le societal challenges e nei LEITs)
- Sostegno delle PMI a alta intensità di ricerca: Innovazione nelle start-ups, spin-offs and giovani imprese research-intensive (Eurostars2)
- Rafforzare le capacità di innovazione delle PMI (mentoring e coaching + other activities – ProInno...)

Inoltre

- Partecipazione delle PMI a piu' tradizionali progetti di ricerca collaborativa (tutte le tre priorità)
- Accesso alla finanza di rischio (prestiti e strumenti di equity)
- Scambio e mobilità dei ricercatori incluse le PMI (Marie Curie actions)
- Link all'accesso ai procurements





3° PILLAR

SOCIETAL CHALLENGES



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- Climate action, resource efficiency and raw materials
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SOCIETAL CHALLENGES

26.240 M €

BUDGET PROPOSTO (MILIONI DI €)

	EC	EP	Compromise 27.06.13
Health, demographic change and wellbeing	9.077	8.033	6.606
Food security, sustainable agriculture, marine and maritime research & the bioeconomy	4.694	4.152	3.405
Secure, clean and efficient energy	6.537	5.782	5.244
Smart, green and integrated transport	7.690	6.802	5.605
Climate action, resource efficiency and raw materials	3.573	3.160	2.724
Inclusive, Innovative and Reflective Societies	4.317	3.819	1.158
Secure Societies			1.498

SOCIETAL CHALLENGES

Per sostenere attività lungo la catena ‘ricerca di laboratorio – accesso al mercato’ (nel quadro delle priorità di Europa 2020):

1. Health, demographic change and wellbeing
2. Food security, sustainable agriculture, marine and maritime research, and the bio-economy
3. Secure, clean and efficient energy
4. Smart, green and integrated transport
5. Climate action, resource efficiency and raw materials
6. Inclusive, innovative and reflective societies
7. Secure societies

1. HEALTH, DEMOGRAPHIC CHANGE AND WELLBEING



* Area attività: Proposta Commissione Europea

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1.1. Understanding health, wellbeing and disease

1.2. Preventing disease

1.3. Treating and managing disease

1.4. Active ageing and self-management of health

1.5. Methods and data

1.6. Health care provision and integrated care

2. FOOD SECURITY, SUSTAINABLE AGRICULTURE, MARINE AND MARITIME RESEARCH AND THE BIO-ECONOMY



* Area attività: Proposta Commissione Europea

2.1. Sustainable agriculture & forestry

2.2. Sustainable and competitive agri-food sector for a safe and healthy diet

2.3 Unlocking the potential of aquatic living resources

2.4 Sustainable and competitive bio-based industries and supporting the development of a European bio-economy

3. SECURE, CLEAN AND EFFICIENT ENERGY



* Area attività: Proposta Commissione Europea

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3.1. Reducing energy consumption and carbon footprint by smart and sustainable use

3.2. Low cost, low carbon electricity supply

3.3. Alternative fuels and mobile energy sources

3.4. A single, smart European electricity grid

3.5. New knowledge and technologies

3.6. Robust decision making and public engagement

3.7 Market uptake of energy innovation

4. SMART, GREEN AND INTEGRATED TRANSPORT



* Area attività: Proposta Commissione Europea



4.1 Resource efficient transport that respects the environment

4.2. Better mobility, less congestion, more safety and security

4.3. Global leadership for the European transport industry

4.4 Socio-economic and behavioural research and forward looking activities for policy making

5. CLIMATE ACTION, RESOURCE EFFICIENCY AND RAW MATERIALS



* Area attività: Proposta Commissione Europea

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5.1. Fighting and adapting to climate change

5.2. Protecting the environment, sustainably managing natural resources, water, biodiversity and ecosystems

5.3. Ensuring sustainable supply of non-energy & non-agricultural raw materials

5.4. Enabling the transition towards a green economy and society through eco-innovation

5.5 Developing comprehensive and sustained global environmental observation and information systems





6. INCLUSIVE, INNOVATIVE AND SECURE SOCIETIES



* Area attività: Proposta Commissione Europea



6. Europe in a changing world – INCLUSIVE, INNOVATIVE AND REFLECTIVE SOCIETIES

7. SECURE SOCIETIES – PROTECTING FREEDOM AND SECURITY OF EUROPE AND ITS CITIZENS

- 6.1. Inclusive societies**
- 6.2. Innovative societies**
- 6.3 Make use of the innovative, creative and productive potential of all generations**
- 6.4 Ensure societal engagement in research and innovation**
- 6.5 Promote coherent and effective cooperation with third countries**
- 6.6 Reflective Societies – cultural heritage and European identity**

- 7.1 Fight crime, illegal trafficking and terrorism, including understanding and tackling terrorist ideas and beliefs**
- 7.2 Protect and improve the resilience of critical infrastructures, supply chains and transport modes**
- 7.3 Strengthen security through border management**
- 7.4 Improve cyber security**
- 7.5 Increase Europe's resilience to crises and disasters**
- 7.6 Ensure privacy and freedom, including in the Internet and enhance the societal dimension legal and ethical understanding of all areas of security, risk and management**
- 7.7 Enhance standardisation and interoperability of systems, including for emergency purposes**
- 7.8 support the Union's external security policies, including conflict prevention and peace-building**



AZIONI ULTERIORI



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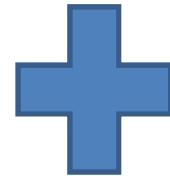
Azioni ulteriori

Budget proposto (milioni di €)

	EC	EP	27/6
EIT – European Institute of Innovation and Technology			2.397
JRC – Joint Research Centres			1.682
Science with and for Society			409
Spreading Excellence and Widening Participation		790	722
Euratom			2.098



722 M€



Spreading Excellence and Widening Participation

- Twinning and networking measures
- Building synergies with Structural Funds

(proposta del Parlamento)





409 M€



Science with and for society





2.397 M €



EIT





H2020 KICs – Knowledge ed Innovation Communities

1 st wave: 2014	Innovation for healthy living and active ageing
	Raw materials – sustainable exploration, extraction, processing and recycling
	Food4future
	Added value manufacturing
3 rd wave: 2018	Urban mobility

+

Climate change KIC - ICT KIC- Sustainable Energy KIC



1.682 M €

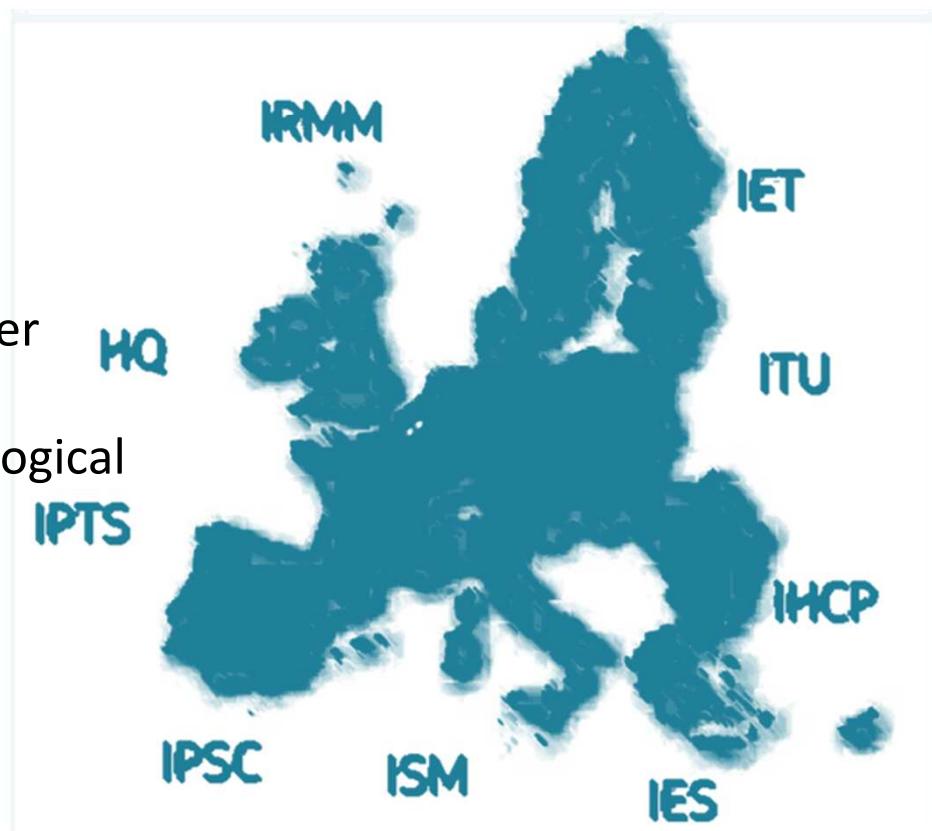


JRC -Joint Research Centre





1. The Institute for Reference Materials and Measurements (IRMM)
2. The Institute for Transuranium Elements (ITU)
3. The Institute for Energy and Transport (IET)
4. The Institute for the Protection and Security of the Citizen (IPSC)
5. The Institute for Environment and Sustainability (IES)
6. The Institute for Health and Consumer Protection (IHCP)
7. The Institute for Prospective Technological Studies (IPTS)





Gli schemi di finanziamento

IMPLEMENTAZIONE DI H2020



@APRE 2013



IMPLEMENTAZIONE Work Programmes H2020

- **STRATEGIC PROGRAMME:** A multi-annual approach (3 years) to help applicants plan ahead. (note: this could be subject to the vote of Programme Committee)
- **WORK PROGRAMMES:** 3 Work Programmes (biannual) during the course of H2020 and 1 final Work Programme for the last year to bridge to the next programme after 2020
- **FLEXIBILITY IN ORGANISING CALLS:** one call in each WP with two deadlines; or two calls with two deadlines; or continuously open calls (under discussion)
- **SYNERGIES:** Horizon 2020 will follow closely the emerging Smart Specialisation flagship priorities and will support them through the Horizon 2020 Work Programmes”



Limited set of possible FOCUS AREAS

- Personalizing health and care
- Sustainable food security
- Blue growth: unlocking the potential of the oceans
- Smart cities and communities
- Competitive low-carbon energy
- Energy Efficiency
- Mobility for growth
- Digital security
- Waste: a resource to recycle, reuse and recover raw materials
- Water innovation: boosting its value for Europe
- Overcoming the crisis: new ideas, strategies and governance structures for Europe
- Disaster-resilience: safeguarding and securing society, including adapting to climate change





Tipologie di progetti collaborativi

R&D projects

Basic research, applied research, technology development and integration, and testing e validation on a small scale prototype in a laboratory or simulated environment

Close to market projects

Prototyping, testing, demonstrating, piloting, large - scale product validation and market replication





Tipologie di progetti collaborativi

R&D projects

Funding rate: 100%

TRL: 1 – 5/6 + clinical trials in phases 1 to 3

Close to market projects

Funding rate:
70%/100%

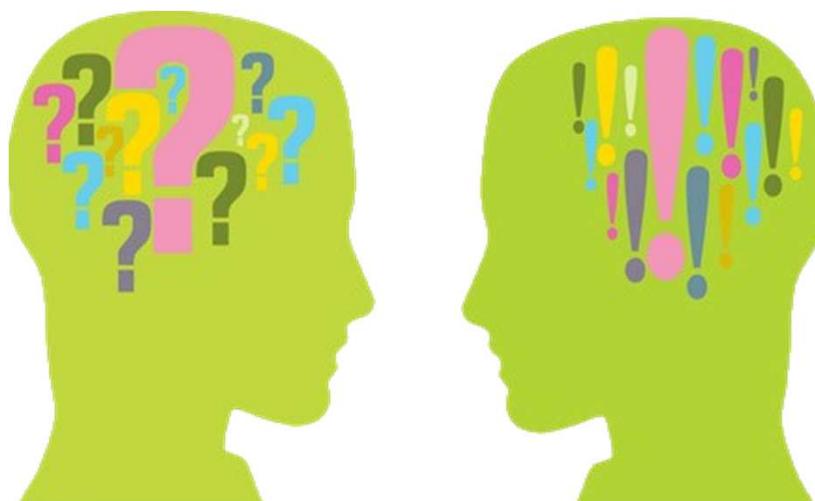
TRL: 6 to 7/8 or 9





INIZIATIVE DI PARTENARIATO PUBBLICO PRIVATO

PUBLIC – PRIVATE PARTNERSHIP PPP





Il perche' dei Partnernariati Pubblico Privati

- Risolvere i problemi insieme all'industria
- Rafforzare la leadership industriale europea
- Facilitare la definizione delle priorità in R & I in linea con gli obiettivi di Europa 2020 e i bisogni dell'industria
- Effetto leva negli elementi di R&I
- Rafforzare gli impegni dell'industria verso obiettivi comuni



Le PPP in H2020

PPP istituzionali	PPP COntrattuali
<ul style="list-style-type: none">• Innovative Medicines Initiative (IMI)• Clean Sky• Single European Sky ATM Research (SESAR)• Fuel Cells and Hydrogen (FCH)• Embedded Computing Systems (ARTEMIS)• Electronic components and systems• Bio-based Industries (BRIDGE)	<ul style="list-style-type: none">• Factory of the Future (FoF)• Energy-efficient Buildings (EeB)• Green Cars (GC)• Future internet (FI-PPP)• Sustainable process industry (SPIRE)• Photonics• Robotics• High Performance Computer

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Le PPP nel FP7

PPP istituzionali	PPP COntrattuali
<ul style="list-style-type: none">• Innovative Medicines Initiative (IMI)• Clean Sky• Single European Sky ATM Research (SESAR)• Fuel Cells and Hydrogen (FCH)• Embedded Computing Systems (ARTEMIS)• Nanoelectronics (ENIAC)	<ul style="list-style-type: none">• Factory of the Future (FoF)• Energy-efficient Buildings (EeB)• Green Cars (GC)• Future internet (FI-PPP)

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Strategia

Europe 2020

Smart growth
Innovation Union
Digital Agenda

Sustainable growth
Resource efficiency
Industrial policy

Inclusive growth

Electronic
Components
& Systems

**ENIAC +
ARTEMIS**

**Clean
Sky**

**CLEAN
SKY**

Fuel Cells
&
Hydrogen

**FCH
IMPACT**

Biobased
industries

BRIDGE

Innovative
Medicines

IMI

Carbon emissions, alternatives to fossil fuels,
rural development, better healthcare treatments

*COM(2013) 494 final, *Public-private partnerships in Horizon 2020: a powerful tool to deliver on innovation and growth in Europe*, 10.07.2013, European Commission





Strategia

JTI	Investment in JTI (EUR)	
	from EU (Horizon 2020)	from industry partners and other sources
Innovative Medicines	1725 million	1725 million
Fuel Cells and Hydrogen	700 million	700 million ⁹
Clean Sky	1800 million	2250 million
Bio-based Industries	1000 million	2800 million
Electronic components and systems	1215 million	3600 million ¹⁰ (of which 1200 million from Member States)
Total	6440 million	9875 million from industry + 1200 million from Member States





JOINT TECHNOLOGY INITIATIVES

- Implementate mediante Joint Undertakings con membri pubblici e privati (EU bodies)
- Principalmente elgate ai temi Cooperation FP7
- Attive nei campi di maggiori interesse per l'Europa (pubblica) e per l'industria, con obiettivi su larga scala e a lungo termine
- Lungo processo (anni) per configurarli
- Implementazione specifica, fuori dalle regole del 7PQ
- Industria e Commissione intenzionate a continuare il processo

- ❖ Innovative Medicines Initiative (IMI)
- ❖ Embedded Computing Systems (ARTEMIS)
- ❖ Aeronautics and Air Transport (Clean Sky)
- ❖ Nanoelectronics Technologies 2020 (ENIAC)
- ❖ Fuel Cells and Hydrogen (FCH)
- ❖ Single European Sky ATM Research (SESAM)



PPP in H2020/1

Criteri per Public-Private Partnerships (Articolo 19 della proposta Horizon 2020)

Public-private partnerships dovrebbe essere identificate in modalità aperta e trasparente, basandosi sui criteri che seguono:

- valore aggiunto dell'azione a livello europeo;
- impatto sulla competitività industriale, la crescita sostenibile e gli aspetti socio-economici
- impegno di lungo periodo da parte dei partner, basato su una visione condivisa e chiari e definiti obiettivi
- l'entità delle risorse coinvolte e la capacità di sfruttare ulteriori investimenti in ricerca e innovazione;
- una chiara definizione dei ruoli per ciascuno dei partner e accordo sugli indicatori chiave per misurare la performance



PPP in H2020

- Continuation of existing JTIs/JUs
- Continuation of existing "contractual" PPPs: **Factories of the Future, Energy-efficient Buildings, Green Cars, Future Internet**
- New initiatives to consider:
 - JTI under preparation: **Bio-based industry (BRIDGE)**
 - New contractual PPPs under preparation: **Sustainable process industry (SPIRE), Photonics, Robotics, High Performance Computer**

Article 187 PPPs

- Budget is fully earmarked
- Greater thematic visibility
- Higher ownership of industry partners
- Industry shares management costs
- There is no commitology
- Rules for community bodies apply
- Derogations of FP rules are needed

Contractual PPPs

- Earmarked budget is only indicative
- Industry proposes strategy and advises on WPs
- Commitology as usual under Horizon 2020
- Commission manages the programme
- Framework Programme rules for participation
- Industry commitment via Partnership Agreement



PPP in H2020/3

INVOLVEMENT OF THE UNION:

"entering a contractual agreement between the partners ...
...which specifies the **objectives** of the partnership, respective
commitments of the partners, **key performance indicators**, and **outputs to be delivered** including the **identification of research and innovation activities** that require support from Horizon 2020"

COMMITMENTS FROM THE INDUSTRY

- PPP goals should form part of the industrial strategy of the sector
- Public funds for the PPP should be matched by major R+I industrial investments in the sector in full synergy
- The public funds should contribute to increased leverage
- Adequate key performance indicators should be identified and agreed to measure progress towards the goals
- The industrial efforts should also consider Training



Experience: JTI - Joint Technology Initiatives

Innovative Medicines Initiative (IMI)

Embedded Computing Systems (ARTEMIS)

Aeronautics and Air Transport (Clean Sky)

Nanoelectronics Technologies 2020 (ENIAC)

Fuel Cells and Hydrogen (FCH)

Single European Sky ATM Research (SESAM)

- Implementate mediante Joint Undertakings con membri pubblici e privati (EU bodies)
- Principalmente elgute ai temi Cooperation FP7
- Attive nei campi di maggiori interesse per l'Europa (pubblica) e per l'industria, con obiettivi su larga scala e a lungo termine
- Lungo processo (anni) per configurarli
- Implementazione specifica, fuori dalle regole del 7PQ
- Industria e Commissione intenzionate a continuare il processo



Experience: FP7 PPP “Contrattuali”

- FoF, EeB e GC lanciate con il piano di ripresa di fine 2008
- Nuovo modello di PPP, differente dalle JTIs
- PPPs, attuate con regole del 7PQ, dimostrano un chiaro beneficio all'industria
- Ruolo dell'industria chiave nel delineare la strategia e definire le priorità di ricerca, ma implementazione con regole 7PQ
- I stakeholders sostengono le PPP nel green paper sul CSF
- Ad-hoc Industrial Advisory Group suggerisce
- Multi-annual Roadmap consentono paini di investimento a lungo termine

“Factories of the future” PPP initiative

- 1. Manufacturing sector € 1.2 billion in 4 years

“Energy-efficient buildings” PPP initiative

- Construction sector - € 1 billion in 4 years

“Green cars” PPP initiative

- Automotive sector - € 1 billion (R&D) in 4 years

“Future Internet” PPP initiative - launched in 2011

- ICT sector – outside the Recovery Plan



Azione trasversale

COOPERAZIONE INTERNAZIONALE





COOPERAZIONE INTERNAZIONALE

Communication entitled

**'ENHANCING AND FOCUSING EU
INTERNATIONAL COOPERATION IN
RESEARCH AND INNOVATION: A
STRATEGIC APPROACH'**

(COM(2012) 497)



<http://ec.europa.eu/research/iscp/index.cfm?pg=strategy>



COOPERAZIONE INTERNAZIONALE

Necessaria a EU per:

- accedere a nuova conoscenza fuori europa e ottenerne l'ingresso in nuovi mercati
- promuovere Europa con un luogo e un partner attrattivo in ricerca e innovazione
- unire forze globalmente per affrontare le sfide sociali

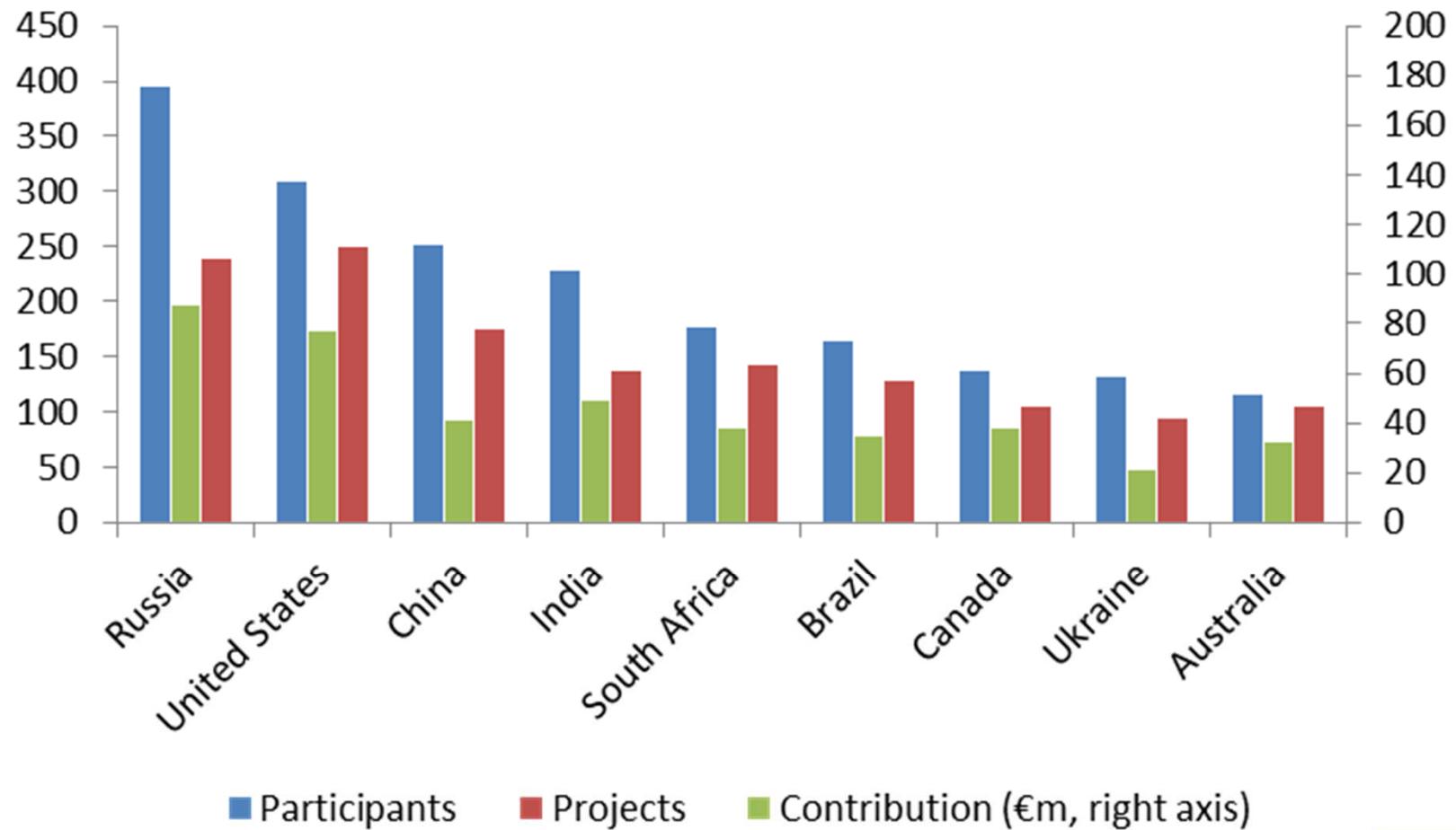
14 Associated
Countries

Regional agreements:
ASEAN, EaP, LAC, etc.

Science &
Technology
Agreements

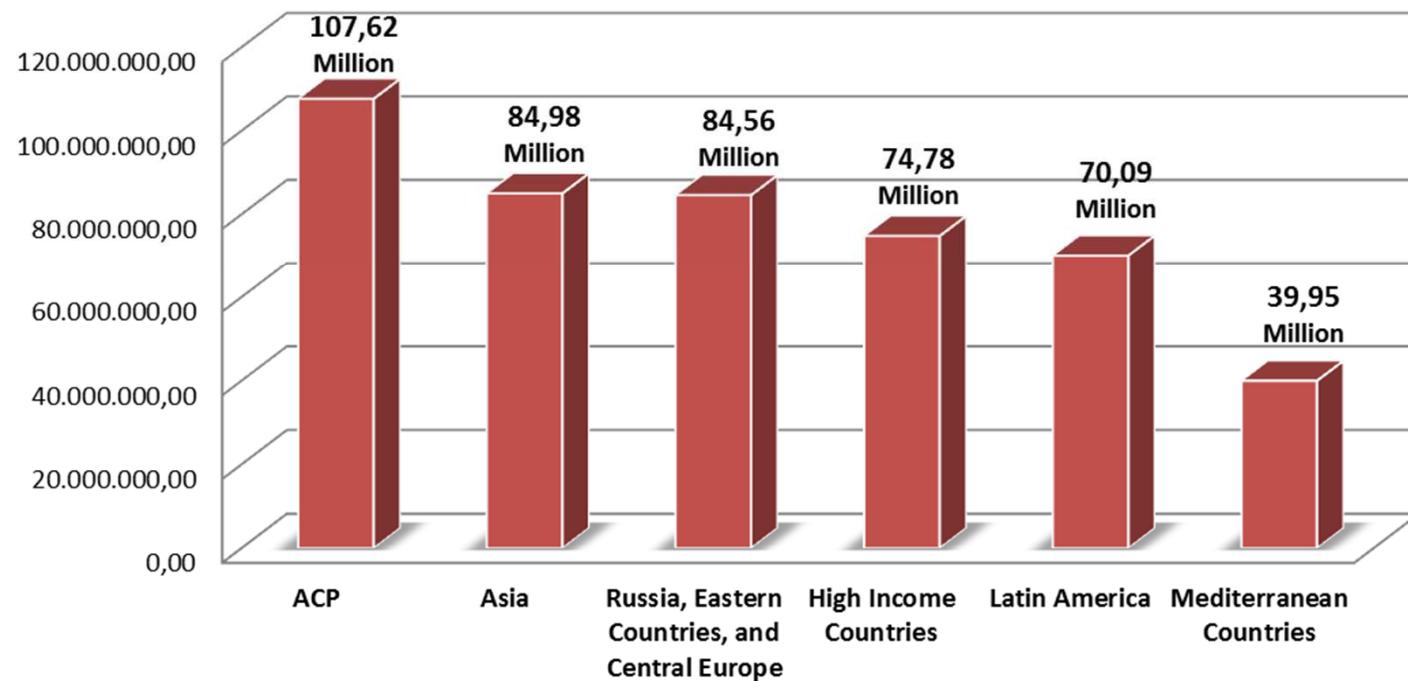


Paesi Terzi e partecipazione al 7PQ



Finanziamenti 7PQ in Cooperazione Internazionale

FP7 International Partners
EU Financial Contribution per Geographic Areas





STRATEGIA DI IC VERSO H2020

EU ha bisogno di impegnarsi più attivamente e strategicamente nella cooperazione Internazionale

Tre obiettivi principali:

- Rafforzare l'eccellenza e l'attrattività dell'unione in ricerca e innovazione così come sua competitività industriale ed economica
- Raccogliere le sfide sociali globali
- Supportare le politiche estere dell'unione

COMBINARE AZIONI APERTE E AZIONI MIRATE

OPENNESS:

Horizon 2020 apre alla partecipazione da tutto il mondo
Revisione della lista dei paesi che ricevono finanziamento automatico

TARGETED ACTIONS:

1. Tematico: identificare le aree di cooperazione internazionale in base all'agenda politica dell'Unione
2. Differenziazione per paesi/regioni nella definizione dei partner di cooperazione

→ **multi-annual roadmaps** per la cooperazione con partner chiave



MULTI-ANNUAL ROADMAPS

Enlargement and neighbourhood countries, and EFTA

- Focus su allineamento con ERA
- Supporto alle politiche di allargamento e vicinato (supporto nello sviluppare un comune 'Knowledge and Innovation Space')

Industrialised countries and emerging economies

- Focus su competitività
- Affrontare sfide globali
- Opportunità di business e accesso a nuovi mercati

Developing countries

- Supportare politiche di sviluppo costruendo partnerships che contribuiscono allo sviluppo sostenibile
- Indirizzate a sfide rilevanti (e.g. poverty-related diseases, energy and food security, biodiversity)

AREE DI INTERESSE IDENTIFICATE IN BASE A :

- Capacità in R&I
- Contributo ai commitment internazionali
- Apertura del mercato
- Frameworks in atto per avviare una cooperazione



STRUMENTI

□ STRUMENTI POLITICI

- more strategic use of S&T agreements with key third countries
- strategic partnerships
- increased synergies across the Commission, more visibility for STI in general cooperation frameworks

□ STRUMENTI DI FINANZIAMENTO

- collaborative projects (third country participation required and/or taken into account in evaluation)
- networking between existing projects
- joint initiatives of Union and third countries: coordinated calls, contribution of Union to third country/international organisations, ERA-Net

□ RACCOLTA INFORMAZIONI:

Research & Innovation Observatory
(multiple sources: ERAWATCH, OECD, UNESCO, EEAS Delegations and Counsellors,...)





INTERNATIONAL COOPERATION IN HORIZON 2020

- Attività mirate nei pilastri **societal challenges e industrial leadership**
 - Projects with required or preferential 3rd country participation
 - Joint calls, delegated management, ERA-NET
- **Pilastro Excellent science**
 - Marie Skłodowska-Curie Fellowships : strong international dimension
 - Development of global research infrastructures
 - ERC open to researchers from all over the world - research to be carried out mostly in Member States or Associated Countries
- **Azioni cross-cutting dedicate** – Inclusive, Innovative and Secure Societies challenge (6^o challenge)
 - Support for policy dialogue
 - Networking and twinning activities
 - Coordination of EU and MS/AC international cooperation policies
 - Strengthening of European presence in international partner countries





"Stairway to excellence"

Fondi Strutturali

Pacchetti preassegnati di risorse a livello geografico per politiche di R&I locali

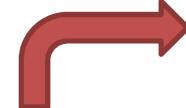


Horizon 2020

Call for proposal con progetti basati su merito, senza indicazione geografica



**SMART
SPECIALISATION**



**CAPACITY
BUILDING**





1° PILLAR

INNOVATION



@APRE 2013

90



Quale innovazione in Horizon 2020?

Horizon 2020 intende sostenere tutte le forme di Innovazione:

- da attività di R&D;
- da altre attività, inclusi sistemi o combinazioni innovative di tecnologie esistenti; sviluppo di nuovi business models etc.
- per applicazioni non commerciali, ad es. per migliorare servizi pubblici o per problematiche sociali ('social innovation').



Strumenti innovativi in Horizon 2020

1. Maggiore sostegno alla realizzazione e diffusione di processi innovativi (*testing, piloting, dimostrazioni di nuove tecnologie*)
2. Sostegno alla “market demand” di innovazione:
 - standard;
 - public procurement;
 - *inducement prizes*;
 - attività bottom-up (*call for proposal più flessibili*);
- 3. Un nuovo strumento per le PMI**
4. Uso estensivo di strumenti finanziari con effetto leva
5. Sostegno attraverso EIT / KICs





Appalti pre-commerciali (PCP) e appalti pubblici di soluzioni innovative (PPI)

- Societal Challenges: riferimenti esplicativi al sostegno per appalti R&D
- Industrial Leadership: sostegno a PCP e PPI quali aspetti essenziali per la competitività
- SME instrument: nella fase 3 previsti servizi di supporto, quali aiuto alle SME ad accedere ad opportunità di appalti innovativi
- Sostegno ad attori delle infrastrutture di ricerca per permettere di adottare presto nuove tecnologie





Standard

- Societal Challenges: riferimenti esplicativi a standard comuni e/o guidelines e interoperabilità
- Industrial Leadership: promuovere attività a sostegno di standard e interoperabilità, (sicurezza e attività di pre-regolamentazione)
- Attività di disseminazione per promuovere l'uso dei risultati conseguiti a livello europeo, nazionale e locale





Premi

- Facile accesso a più partecipanti con minori ostacoli amministrativi (= semplificazione per beneficiari e amministrazioni)
- *Result-driven*: premiato solo chi ottiene risultati
- Effetto leva: stimolo degli investimenti privati calcolato in 10 – 20 volte il valore cash del premio
- Pubbliche relazioni: cattura l'interesse e l'attenzione del pubblico su un particolare aspetto sociale
- Commercializzazione: i premi pubblicizzano bene una innovazione e servono da stimolo per investitori e inventori a portare la soluzione creata al mercato





Per maggiori informazioni:

http://ec.europa.eu/research/horizon2020/index_en.cfm

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RESEARCH & INNOVATION

Horizon 2020

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COUNTDOWN
291 DAYS
Before Horizon 2020 launch

HORIZON 2020

THE FRAMEWORK PROGRAMME FOR RESEARCH AND INNOVATION

What is Horizon 2020? <ul style="list-style-type: none">The EU Framework Programme for Research and InnovationOfficial documentsQuestions & Answers	What's in Horizon 2020 for me? <ul style="list-style-type: none">Excellent ScienceCompetitive IndustriesBetter Society	Why Horizon 2020? <ul style="list-style-type: none">Europe 2020Public consultationSuccessful EU research
What's next? <ul style="list-style-type: none">A time line for Horizon 2020Calendar of eventsExperts for Advisory Groups <small>(NEW)</small>	What's your view? <ul style="list-style-type: none">Video testimonials  Marinella Tabet	Press corner <ul style="list-style-type: none">Press materialsCountry profiles and featured projectsVideos by theme

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Grazie per l'attenzione!

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